### Before the

FEDERAL COMMUNICATIONS COMMISSION OFFICE OF THE SECRETARY Federal Communications Commissi

> Washington, D.C. 20554

In re Applications of

MM DOCKET NO. 93-300

STEPHEN O. MEREDITH

File No. BPH-920430MD

AL HAZELTON

File No. BPH-920430ME

For a Construction Permit for a New FM Station on Channel 243C1 at Audubon, Iowa

Honorable John M. Frysiak Administrative Law Judge

### NOTION TO ENLARGE ISSUES AGAINST AL HAZELTON

Stephen O. Meredith ("Meredith"), by and through counsel, and pursuant to §1.229 of the Commission's rules (47 C.F.R. §1.229), hereby submits his "Motion To Enlarge Issues" seeking the addition of false certification and misrepresentation issues against Al Hazelton ("Hazelton") in the above-captioned proceeding. In support whereof, the following is shown:

### BACKGROUND

On April 30, 1992, Hazelton filed his Audubon application with the Commission. A review of his application (FCC Form 301) reveals that he signed it on April 28, 1992. See Exhibit A.2 Mr. Hazelton's Broadcast Equal Employment Opportunity Model Program Report (FCC Form 396-A), attached to

LISTABCDE

The <u>Hearing Designation Order</u>, DA 93-1392, ("<u>HDO</u>") in this proceeding was released on December 14, 1993. Since this Motion To Enlarge Issues is being filed within thirty days of the release of the HDO, it is timely filed pursuant to §1.229(b)(1) of the rules.

The date notation "April 28, 1992" is typed on the signature page. No. of Copies recid

his application, was also dated April 28, 1992.3

2. While the application represents that Hazelton signed these documents on April 28, 1992, the engineering portion (FCC Form 301, page 18) of his application was not executed until the following day - April 29, 1992. The signature page of the engineering portion of Hazelton's application bears the signature of "Donald L. Markley - Consulting Engineer" and the handwritten date of "April 29, 1992." Markley's "affidavit" of his qualifications bears the notation "subscribed and sworn to before me this 29th day of April, 1992."

### ARGUMENT

### Raselton's Certification Was False

3. The above facts show that, at the time he signed his Audubon application, Hazelton had not reviewed an executed copy of the engineering portion of his application. The one day delay between the date appearing on his own signature pages and the date appearing in the engineering portions of his application show unmistakably that Hazelton signed his application before the engineering portion was in existence. Since Hazelton signed an incomplete application, it was impossible for him to attest to the accuracy of his application. The certification, contained in his application, that "the statements in this application are true and correct to the best of my knowledge and belief, and are

<sup>&</sup>lt;sup>3</sup> The date on this document is also typewritten "Signed and dated this 28 day of April, 1992."

No notary jurat appears on Markley's "affidavit."

made in good faith," was therefore false.

- The Commission has previously considered the situation where an applicant signs his or her application before reviewing all of the information contained therein. In such a case, issues are added to determine whether the applicant's certification was false, and what effect such a finding would have on the applicant's basic qualifications. For example, in Triangle Broadcasting Co., 49 RR 2d 1601, 1602 (ALJ 1981), issues were added against an applicant whose principal signed the application without a copy of the engineering portion of the application In Post-Newsweek Stations, Florida, Inc., 34 RR 2d before him. 676 (Rev. Bd. 1975), the principal responsible for signing the application did so prior to the time that certain exhibits contained therein were completed. The Review Board, in adding false certification issues against the application, found that the applicant's signature "was to an incomplete application which, at minimum, did not contain the programming exhibit, which appears to have been prepared some time thereafter." Post-Newsweek, 34 RR 2d at 679.
- 5. In this case, the evidence indicates that Hazelton signed an incomplete application which did not contain an executed copy of his engineering data which was apparently completed the next day. Therefore, at the time he signed his application, Hazelton was certifying to the accuracy of a document that was not yet in existence. Hazelton's certification was false, for as the Review Board has noted, "[n]o one can swear

to an event which has not yet transpired, or to material which is not yet in existence." Post-Newsweek, 34 RR 2d at 680, citing, Johnston Broadcasting v. FCC, 175 F. 2d 351, 354 (D.C. Cir. 1949). A false statement contained in a document submitted to the Commission is a clear violation of §1.17 of the Commission's rules.

- 6. Hazelton's actions cannot be excused, even if it is shown that he signed and dated his application and subsequently reviewed the engineering the following day. See, Post-Newsweek, 34 RR 2d at 680. "The Commission has long held that: 'no material [may] be added to an application once it has been signed by the licensee...unless the application is thereafter redated, resigned, and reverified." Post-Newsweek, 34 RR 2d at 680, citing, WMOZ, Inc., 36 FCC 201, 218 (1964). Therefore, the conflicting dates contained in Hazelton's application point to one conclusion that he signed and dated an incomplete application and that his certification was false.
- applicants and must be able to rely on the representations of those who come before it seeking licenses. See, WOKO, Inc., 329 U.S. 223 (1946); and Nick J. Chaconas, 28 FCC 2d 231, 233 (1971). Any authorization obtained under circumstances of misrepresentation undermines the Commission's licensing process. See, Richard Bott, II, 8 FCC Rcd 4074, 4076 (1993). Therefore, the Commission will not tolerate misrepresentations made by an applicant and any such misrepresentations will form the basic for

Television Outreach, Inc., 99 FCC 2d 395, 398-402 (Rev. Bd. 1984); and Policy Statement on Character, 102 FCC 2d 1179, 1210-11 (1986) (subsequent history omitted). The facts in this case raise the serious question of whether Hazelton knowingly submitted a false certification in his application to the Commission and whether such conduct would affect his to basic character qualifications.

### CONCLUSION

- 8. The above-referenced facts raise a "substantial and material question of fact" that should be fully considered at hearing. See §1.229 of the rules. Only with the addition of special issues can all of the facts and circumstances surrounding Hazelton's signing of his Audubon application be revealed and a clear determination made as to whether Hazelton's certification was false. Therefore, Meredith respectfully requests that the issues in this proceeding be enlarged to consider the following issues against Hazelton:
  - a. To determine whether the application of Hazelton was properly certified and executed in accordance with FCC requirements;
  - b. To determine whether Hazelton made a misrepresentation to the Commission with respect to the certification contained in his application; and
  - c. To determine in light of the evidence adduced with respect to the foregoing issues whether Hazelton possesses the requisite character qualifications to be a Commission licensee.

9. Should the above-referenced issues be added, then Meredith requests that Hazelton be ordered to produce the following documents:

### Additional Document Production Request

### Definitions

- a. The term "Document" means the copies of the original and any nonidentical copy and/or amendment thereof, of any letter, memorandum, report, handwritten note, working papers, summary of data, data compilation sheet, interview report, record, bill, receipt, cancelled check, order, audio and/or video, or video recording, or any other handwritten, typed, printed or graphic materials, data, data base or computer file or computer generated output of any form to which Mr. Hazelton or any of his agents or representatives have access. Copies of documents already on file at the Commission need not be produced, but must be identified.
- b. The term "representative" or "agent" includes, but is not limited to, present and former legal counsel, engineering and all other consultants, accountants, employees or agents.
- c. "Or" means "and/or."
- d. "Relating to" or "related to" mean whether the requested document constitutes, contains, embodies, reflects, identifies, states, refers to, deals with, or is in any way pertinent to the specified subject, including documents concerning the preparation of other documents.
- e. "Application" means the Application For Construction Permit (File No. BPH-920430ME) filed by Al Hazelton for authority to construct a new FM station on Channel 243C1 at Audubon, Iowa.

### Documents Requested

a. Any and all documents related to the preparation of any portion of Hazelton's application, including, but not limited to, copies of any drafts of any portion of the application and notes or memoranda concerning the preparation of any portion of the application.

- b. Any and all documents related to correspondence to and from Hazelton and any of his representatives concerning the preparation and ultimate filing of his application, including, but not limited to, any facsimile cover sheets, overnight courier airbills, or any other documents which contain dated material that evidence when and where Hazelton's application or any portion thereof was prepared or executed.
- c. Any and all documents that would evidence discussions held between Hazelton and his representatives concerning the preparation and ultimate filing of his application, including, but not limited to, notes, messages or other memoranda.

### Instructions

It is respectfully requested that the documents requested in this document be delivered to Gary S. Smithwick, Esq., counsel to Stephen O. Meredith, at 1900 M Street, N.W., Suite 510, Washington, DC, no later than 10 days following the release of the Judge's order adding the requested issues.

- 10. Additionally, should the above-referenced issues be added, then Meredith hereby states that he intends to take the depositions of Hazelton, as well as his consulting engineer, Donald L. Markley, on the matters related to the added issues.
- 11. Finally, should it be found that Hazelton's certification was false, the Commission should consider the imposition of a forfeiture of up to \$250,000. See \$1.229(f). The fact that Hazelton may have made a misrepresentation in a Commission filing raises the question of whether he has violated \$1.17 of the rules which prohibits applicants from making "any misrepresentation or willful material omission" in documents submitted to the Commission. Under the Commission's revised standards for forfeitures, the base forfeiture amount is \$20,000 for a single act of misrepresentation. See, Policy Statement

(Standards For Assessing Forfeitures), 8 FCC Rcd 6215, 6216 (1993).

wherefore, the above-facts considered, Stephen O. Meredith respectfully requests that the issues in this proceeding be enlarged against Al Hazelton, as outlined herein.

Respectfully submitted,

STEPHEN O. MEREDITH

y: 2000

Gary S. Smithwick Shaun A. Maher

His Attorneys

SMITHWICK & BELENDIUR, P.C. 1990 M Street, N.W. Suite 510 Washington, D.C. 20036 (202) 785-2800

January 13, 1994

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### EXHIBIT A

SECTION

# FEE PROCESSING FORM

FOR FCC/MELLON APR 30 1992
USE ONLY Duplicate 239

Please read instructions on back of this form before completing it. Section I MUST be completed. If you are applying for concurrent actions which require you to list more than one Fee Type Code, you must also complete Section II. This form must accompany all payments. Only one Fee Processing Form may be submitted per application or filing. Please type or print legibly. All required blocks must be completed or application/filing will be returned without action.

| APPLICANT NAME (Last, first, middle initial)   |             |  |               |  |                           |  |  |
|--|-------------|--|---------------|--|---------------------------|--|--|
| Hazelton, Al   |             |  |               |  |                           |  |  |
| MAILING ADDRESS (Line 1) (Maximum 35 characters - refer to Instruction (2) on reverse of form) |             |  |               |  |                           |  |  |
| 304 Durant   |             | \\\ \\\ - \\ - \\ - \\\ - \\\ - \\\ - \\\ - \\\ - \\\ - \\\ \\ | ->            |  |                           |  |  |
| MAILING ADDRESS (Line 2) (if required) (Maximum 35 characters)                                 |             |  |               |  |                           |  |  |
|  |             |  |               | ·  |                           |  |  |
| CITY   |             |  |               |  |                           |  |  |
| Harlan   |             | ZIP CODE   |               | CALL SIGN  | OTHER FCC IDENTIFIER      |  |  |
| STATE OR COUNTRY (if foreign   | ii address) |  |               | CALL SIGN  | OTHER PCC IDENTIFIER      |  |  |
| Iowa<br>Enter in Column (A) the correct  | Fae Type Co | 51537  | vice vou are  | applying for Fee Type                                  | Codes may be found in FCC |  |  |
| See Filing Guides. Enter in Colum  | -           |  |               |  | •                         |  |  |
| the value of the Fee Type Code   | in Column ( | A) by the numb   |               | Column (B), if any.                                    |                           |  |  |
| (A)  | <u>(B</u>   |  | 1 ===         | (C)  |                           |  |  |
| FEE TYPE CODE  |             | ULTIPLE<br>quired)   |               | DUE FOR FEE TYPE                                       | FOR FCC USE ONLY          |  |  |
| (1)  | T           |  |               |  |                           |  |  |
| MTR  |             |  | * 2           | ,030.00  |                           |  |  |
|  |             |  |               |  |                           |  |  |
| SECTION II   | - To be     | used only whe  | n you are rea | questing concurrent ac                                 | tions which result in a   |  |  |
|  |             | =  | -             | Fee Type Code.   |                           |  |  |
| 443  |             | •  |               | (0)  |                           |  |  |
| (A)<br>FEE TYPE CODE   | FEE MUL     | -  | eee .         | (C)<br>DUE FOR FEE TYPE                                | FOR FCC USE ONLY          |  |  |
| 122 . 172 0002   | (If requ    |  |               | E IN COLUMN (A)  |                           |  |  |
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|  |             |  |               |  |                           |  |  |
| (3)  |             |  | Γ.            |  |                           |  |  |
|  |             |  | \$            |  | ·                         |  |  |
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| (5)  |             |  | \$            |  |                           |  |  |
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| REMITTANCE.  |             |  |               | ON FILING  |                           |  |  |
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## SEMMES, BOWEN & SEMMES

SUITE 500 1025 CONNECTICUT AVENUE, N. W. WASHINGTON, D. C. 20036

TELEPHONE 202-822-8250

FACSIMILE 202-822-8258 CABLE TREVLAC TELEPHONE 301-296-4400 TELEX 87-478

250 WEST PRATT STREET BALTIMORE, MARYLAND 21201 TELEPHONE 301-539-5040 FACSIMILE 301-539-5223

BARRY A. FRIEDMAN PARTNER.

P. O. SOX 6705 401 WASHINGTON AVE.

TOWSON, MARYLAND 21285

FACSIMILE 301-296-8016

31 B

April 30, 1992

PLEASE REPLY TO WASHINGTON, D. C. OFFICE

Federal Communications Commission Mass Media Services P.O. Box 358195 Pittsburgh, Pennsylvania 15251-5195

Re: Al Hazelton

Applicant for New FM Radio Station Channel 243C1 at Audubon, Iowa

Dear Sir:

Transmitted herewith, in triplicate, on behalf of Al Hazelton, is an application on FCC Form 301. The application requests that the Commission grant a construction permit for a new FM radio station on Channel 243C1 at Audubon, Iowa.

Also enclosed is a check in the amount of \$2,030.00 for the required filing fee.

Should there be any questions concerning this matter, please communicate with the undersigned.

Very truly yours,



| Federal Communications Commiss<br>Washington, D. C. 20554  | sion            | FCC                                     | 301                      | Duplicate                                      |                                       | Approved by OMB<br>3080-0027<br>Expires 2/28/92<br>ge 25 for information<br>gublic burden estimate |
|--|-----------------|---|--------------------------|--|---------------------------------------|--|
| APPLICATION  | FOR CONST       | RUCTION PERMIT                          | FOR COMM                 | ERCIAL BROADCAST                               | STATIO                                | Ý  |
| For COMMISSION Fee Use   | - I             |   |                          | For APPLICANT Fee                              | Use Only                              |  |
|  | FEE I           | NO:                                     |                          | is a fee submitted wi                          | th this                               | X Yes No   |
|  | FEE 1           | YPE                                     |                          | if fee exempt (see 47 indicate reason there    | C.F.R. Sec                            | tion Lill2).   |
|  | FEE A           | AMT:                                    |                          | Noncommercial Governmental                     | entity                                | nal licensee   |
|  | ID SE           | Q:                                      |                          | FOR COMMISSION USE                             |                                       | 30M5   |
| Section I - GENERAL  | INFORMATI       | ON                                      |                          | DYII   | 1009.                                 | 10111  |
| 1. Name of Applicant   |                 |   | . 1                      | ces and communication the address below:       | s to the f                            | ollowing   |
|  |                 |   | Name                     | the data cas below.                            | · · · · · · · · · · · · · · · · · · · |  |
| AL HAZELTON  |                 |   | Barı                     | ry A. Friedman                                 |                                       |  |
|  |                 |   | Sem                      | nes, Bowen & Se                                | mmes                                  |  |
| Fireet Address or P.O. Bo  | x               |   | Street Ac                | ldress or P.O. Box                             |                                       |  |
| 304 Durant   | ·               |   |                          | Connecticut A                                  | venue.                                |  |
| City   | Stat<br>I A     |   | City                     |  | State                                 | ZIP Code   |
| Harlan Telephone No. linciude Ar   |                 | .   51557                               |                          | nington<br>e No./Include Area Codel            | DC                                    | 20036  |
| (712) 243-3920   | ** ***          |   |                          | 2) 822-8250                                    |                                       |  |
| 2 This application is for:  (a) Channel No. or Front Property (a) Channel No. or Front Property (a) Channel No. or Front Property (b) (a) Channel No. or Front Property (b) (b) (c) (c) (c) (c) (c) (c) (c) (c) (c) (c | equency         | AM (b) Prince                           | XX FM                    | City   | TV                                    | State  |
| 243C1  |                 | <b>!</b>                                | munity                   | Audubon  |                                       | IA   |
| (c) Check one of the fo  | •               | <u></u>                                 |                          |  |                                       |  |
| MAJOR change   | in licensed fac | eilities call sign:                     |                          | ***************************************        | <del></del>                           |  |
| MINOR change i   | in licensed fac | eilities call sign:                     | ************************ | ······································         |                                       |  |
| MAJOR modifica   | tion of constr  | uction permit; call s                   | sign:                    |  |                                       |  |
| File No. of constr   | ruction permit  | *************************************** | ············ =           |  |                                       |  |
| MINOR modifica   | tion of constr  | uction permit; call s                   | sign:                    | ***************************************        |                                       |  |
| File No. of consti   | ruction permit  | •                                       |                          |  |                                       |  |
| AMENDMENT to p   | ending applica  | ation; Application f                    | lle number               |  | -                                     |  |
| NOTE: It is not necess submit only Section I a   |                 |   |                          | iled application. Should tain the amended info |                                       | o, however, please   |
| 3. is this application mu  | itually exclusi | ve with a renewal                       | application?             |  |                                       | Yes X No   |
| If Yes, state:   | Call letters    |   | Community                | of License                                     |                                       | }  |
| •  |                 | City                                    |                          |  | State                                 |  |

| Section     - LEGAL QUANAME of Applicant  | ALIFICATIONS   |   |             |
|---|--|---|-------------|
| Al Hazelton   |  |   |             |
| 1. Applicant is: (check one be  | t below?   |   |             |
| X Individual  | General partnership  | For-profit corporation  |             |
| Other   | Limited partnership  | Not-for-profit corporation  |             |
|   | ncorporated association or a<br>, describe in an Exhibit the n                                   | legal entity other than an individual, ature of the application.  Not Applicable  | Exhibit No. |
| applicant" are defined in t<br>each "party to this applica:<br>If the applicant considers | he instructions for Section II :<br>tion" and each "non-party equ<br>that to furnish complete in | "and "non-party equity owners in the of this form. Complete information as to uity owner in the applicant" is required. formation would pose an unreasonable estrict terms of this requirement with |             |
| enabling charter (e.g., a lin   | nited partnership must identi  | e and place of filing of the applicant's fy its certificate of limited partnership tion by date and place of filing):  Not 1  | Applicable  |
| Date  | Place  |   |             |
| applicant shall include the case of a partnership, the                                    | e enabling charter in the app<br>enabling charter does not in                                    | ng charter be filed with the state, the blicant's public inspection file. If, in the include the partnership agreement itself, the applicant's public inspection file.                              |             |
| instruments identified in in the applicant, including                                     | response to Question 3 above; but not limited to, insulated                                      | erstandings (written or oral), other than relating to future ownership interests i limited partnership shares, nonvoting options, rights of first refusal, or                                       | Yes X No    |
|   | Exhibit all such written ie the particulars of any oral  | documents, instruments, contracts, or agreement.  | Exhibit No. |
| 5. Complete, if applicable, the   | following certifications   |   |             |
|   | at no limited partner will be<br>tion of the proposed station.                                   | involved in any material respect in the Not Applicable  | Yes No      |
|   | t complete Question 6 below<br>the media activities of the par                                   | w with respect to all limited partners thership.  |             |
| trust department of   |  | t. Section 80 e-31, insurance company, or d holding of greater than 5% but less Not Applicable  | Yes No      |
|   | icant, directly or indirectly,   | such interest exercises no influence or and has no representatives among the  | Yes No      |

### Section II - LEGAL QUALIFICATIONS (Page 2)

6. List the applicant, parties to the application and non-party equity owners in the applicant. Use one column for each individual or entity. Attach additional pages if necessary.

tRead carefully - The numbered items below refer to line numbers in the following table.

- Name and residence of the applicant and, if applicable, its officers, directors, stockholders, or partners (if other than individual also show name, address and citizenship of natural person authorized to vote the stock). List the applicant first, officers next, then directors and, thereafter, remaining stockholders and partners.
- 2. Citizenship.

?

- 8. Office or directorship held.
- 4. Number of shares or nature of partnership interests.
- 5. Number of votes.

- 6. Percentage of votes.
- Other existing attributable interests in any broadcast station, including the nature and size of such interests.
- 8. All other ownership interests of 5% or more (whether or not attributable), as well as any corporate officership or directorship, in broadcast, cable, or newspaper entities in the same market or with overlapping signals in the same broadcast service, as described in 47 C.F.R. Section 73.3555 and 78.501, including the nature and size of such interests and the positions held.

|            | T  |            |   |
|------------|--|------------|---|
| <b>(3)</b> | Al Hazelton  |            |   |
| 1.         | 394 Durant   |            |   |
| 1.         | Harlan, IA 51537   |            | • |
|            |  |            |   |
|            |  |            |   |
| 2          | USA  |            |   |
| 3.<br>     | N/A  |            |   |
|            | N/A  |            |   |
| <b>5</b> . | N/A  |            |   |
| 6.         | N/A  |            |   |
| 7.         | Applicant is President<br>and 15.4% shareholder<br>of Wireless Communication<br>Corp., licensee of Station<br>KJAN(AM), Atlantic, IA | ons<br>.on |   |
|            | N/A  |            |   |
| 8.         |  |            |   |

| 7.    | Does the applicant, any party to the application or any non-party equity owner in the applicant have, or have they had, any interest in:  |             |
|-------|---|-------------|
|       | (a) a broadcast station, or pending broadcast station application before the Commission?  | X Yes No    |
|       | (b) a broadcast application which has been dismissed with prejudice by the Commission?  | Yes X No    |
|       | (c) a broadcast application which has been denied by the Commission?  | Yes X No    |
|       | (d) a broadcast station, the license of which has been revoked?   | Yes X No    |
|       | (e) a broadcast application in any pending or concluded Commission proceeding which left unresolved character issues against the applicant?   | Yes X No    |
|       | If the answer to any of the questions in (a)-(e) above is Yes, state in an Exhibit the following information:   | Exhibit No. |
|       | <ul> <li>(1) Name of party having interest;</li> <li>(2) Nature of interest or connection, giving dates;</li> <li>(3) Call letters of stations or file number of application or docket; and</li> <li>(4) Location.</li> </ul>   |             |
| 8.    | (a) Are any of the parties to the application or non-party equity owners in the applicant related (as husband, wife, father, mother, brother, sister, son or daughter) to each other?   | Yes X No    |
|       | (b) Does any member of the immediate family (i.e., husband, wife, father, mother, brother, sister, son or daughter) of any party to the application or non-party equity owner in the applicant have any interest in or connection with any other broadcast station, pending broadcast application or newspaper in the same area (see Section 13.3555(c)) or, in the case of a television station applicant only, a cable television system in the same area (see Section 16.501(a))?        | Yes X No    |
| · .a. | If the answer to (a) or (b) above is Yes, attach an Exhibit giving full disclosure concerning the persons involved, their relationship, the nature and extent of such interest or connection, the file number of such application, and the location of such station or proposed station.  | Exhibit No. |
|       | State in an Exhibit any interest the applicant or any party to this application proposes to divest in the event of a grant of this application.   | Exhibit No. |
|       | OTHER MASS MEDIA INTERESTS  |             |
| 10.   | (a) Do individuals or entities holding nonattributable interests of 5% or more in the applicant have an attributable ownership interest or corporate officership or directorship in a broadcast station, newspaper or CATV system in the same area? (See Instruction & to Section 11.1)   | Yes X No    |
|       | (b) Does any member of the immediate family (i.e., husband, wife, father, mother, brother, sister, son or daugther) of an individual holding a nonattributable interest of 5% or more in the applicant have any interest in or connection with any other broadcast station, pending broadcast application, newspaper in the same area (see Section 73.3555(c)), or, in the case of a television station applicant only, a cable television system in the same area (see Section 76.501(e))? | Yes X No    |
|       | If the answer to (a) and/or (b) above is Yes, attach an Exhibit giving a full disclosure concerning the persons involved, their relationship, the nature and extent of such interest or connection, the file number of such application, and the location of such station or proposed station.  | Exhibit No. |

Section II - LEGAL QUALIFICATIONS (Page 4)

and a description of the current status or disposition of the matter.

3 45

### CITIZENSHIP AND OTHER STATUTORY REQUIREMENTS

| 11. (a   | Is the applicant in violation of the provisions of Section 310 of the Communications Act of 1934, as amended, relating to interests of aliens and foreign governments? (See Instruction C to Section 11.)   | Yes X No    |
|----------|---|-------------|
| (t       | will any funds, credits or other financial assistance for the construction, purchase or operation of the station(s) be provided by aliens, foreign entities, domestic entities controlled by aliens, or their agents?   | Yes X No    |
|          | If the answer to (b) above is Yes, attach an Exhibit giving full disclosure concerning this assistance.   | Exhibit No. |
| 12. (a   | Has an adverse finding been made or an adverse final action been taken by any court or administrative body as to the applicant, any party to this application, or any non-party equity owner in the applicant in a civil or criminal proceeding brought under the provisions of any law related to the following:   |             |
|          | Any felony; broadcast related antitrust or unfair competition; criminal fraud or fraud before another governmental unit; or discrimination?   | Yes X No    |
| (b       | of the matters referred to in (a) above?  | Yes X No    |
| oo<br>ad | the answer to (a) and/or (b) above is Yes, attach an Exhibit giving full disclosure incerning persons and matters involved, including an identification of the court or iministrative body and the proceeding (by dates and file numbers), a statement of the facts con which the proceeding is or was based or the nature of the offense alleged or committed, | Exhibit No. |

### SECTION III - FINANCIAL QUALIFICATIONS

NOTE If this application is for a change in an operating facility do not fill out this section.

| 1. | The applicant | certifies that su | fficient ne | et liquid ass | ets are on h | and or that suf | ficient f  | unds |
|----|---------------|-------------------|-------------|---------------|--------------|-----------------|------------|------|
|    | are available | from committed    | sources to  | construct     | and operate  | the requested   | facilities | for  |
|    | three months  | without revenue   | <b>e</b> .  |               |              |                 |            |      |

X Yes No

2 State the total funds you estimate are necessary to construct and operate the requested facility for three months without revenue.

\$ 600,000.00

3. Identify each source of funds, including the name, address, and telephone number of the source (and a contact person if the source is an entity), the relationship (if any) of the source to the applicant, and the amount of funds to be supplied by each source.

| Source of Funds (Name and Address)                                  | Telephone Number | Relationship | Amount       |
|---|------------------|--------------|--------------|
|   |                  |              |              |
| Whitney Bank & Trust<br>223 Chestnut Street<br>Atlantic, Iowa 50022 | (712) 243-3195   | Bank         | \$650,000.00 |
| Contact: Frank Greiner<br>Executive Vice President                  | ·                |              |              |
|   |                  |              |              |
|   |                  |              |              |
|   |                  |              |              |
|   |                  |              |              |
|   |                  |              |              |
|   |                  |              | ·            |
|   |                  |              |              |
|   |                  |              |              |

### Section IV-A - PROGRAM SERVICE STATEMENT

Attach as an Exhibit, a brief description, in narrative form, of the planned programming service relating to the issues of public concern facing the proposed service area.

Exhibit No.

### Section IV-B - INTEGRATION STATEMENT

Attach as an Exhibit the information required in 1, and 2 below.

Exhibit No.

- 1. List each principal of the applicant who, in the event of a grant of the application on a comparative basis proposes to participate in the management of the proposed facility and, with respect to each such principal, state whether he or she will work full-time (minimum 40 hours per week) or part-time (minimum 20 hours per week) and briefly describe the proposed position and duties.
- 2. State with respect to each principal identified in response to Item 1, above, whether the applicant will claim qualitative credit for any of the following enhancement factors:



- (a) Minority Status
- (b) Past Local Residence
  - If Yes, specify whether in the community of license or service area and the corresponding dates.
- (c) Female Status
- (d) Broadcast Experience
- . If Yes, list each employer and position and corresponding dates.
- (e) Daytime Preference

| · · ·                      |                             |                 |                             |          |                 |             |             |          | <del></del>                                  | <del></del>   |
|----------------------------|-----------------------------|-----------------|-----------------------------|----------|-----------------|-------------|-------------|----------|--|---------------|
| , ,                        |                             |                 |                             |          |                 | COMMISS     | WIN USE C   | INLY     |  |               |
| :<br>Section               | V-B - FM BRO                | ADCAST ENG      | INEERING DAT                | A        | Pile            |             |             |          | <del></del>                                  |               |
|                            |                             |                 |                             |          |                 | Referral    | Dete        |          |  | <del></del>   |
| None of Appli              |                             |                 |                             |          | Ker             | erred by    |             |          |  |               |
| Name of Appli              |                             |                 |                             |          |                 |             |             |          |  |               |
|                            | Al Hazel                    | ton             |                             |          |                 |             |             |          |  |               |
| Call letters (if           | issuedi                     |                 | is this application window? | tion 1   | being file      |             |             | 100      | X 70   | Mo No         |
|                            |                             |                 | If Yes, specif;             | y clos   | ing date:       | _ <u>Ap</u> | ril 30      | , 199    | <u>'                                    </u> |               |
| Purpose of Ap              | plication: leheck           | ppropriate boxl | os//                        |          |                 |             |             |          |  |               |
| X Constr                   | uct a new (main             | ) facility      |                             |          | Construc        | t a new a   | uxillary f  | acility  | ·  |               |
| Modif                      | y existing constr<br>y      | uction permit   | for main                    |          | Modify facility | existing o  | onstructio: | n perm   | it for an                                    | xillary       |
| Modif:                     | y licensed main             | facility        |                             |          | Modify          | licensed a  | uxiliary f  | acility  |  |               |
| if purpose is to affected. | o modify, indicat           | e below the,n   | ature of change             | (s) an   | d specify       | the file    | number(s)   | of the   | authori                                      | zations       |
| Anten                      | na supporting-st            | ructure heigh   | ı                           |          | Effectiv        | e radiated  | power       |          |  |               |
| Anten                      | na height above             | average terra   | dn                          |          | Frequen         | cy          |             |          |  |               |
| Anten                      | na location                 |                 |                             |          | Class           |             |             |          |  |               |
| Main :                     | Studio location             |                 |                             |          | Other (S        | unnarizo br | ietly?      |          |  |               |
| File Number                | or(s)                       |                 |                             |          |                 |             |             |          |  |               |
| Channel No.                |                             | Principal o     | ommunitur to be             | 2021/0   |                 |             | Class       | icheck e | nly ene b                                    | ex beleel     |
| Channel No.                | City                        | Principal G     | County                      | 301 V G  | <u> </u>        | State       |             |          | B1 [   | ] в 🔲 с:      |
| 243                        | A                           |                 | A                           |          |                 | Τ.          |             |          | _  | - <del></del> |
| <u> </u>                   | Audubo                      | n               | Audubor                     | <u> </u> |                 | IA          | ]           | 2 X      | C1   | J c           |
|                            | on of antenna.              |                 |                             |          |                 |             |             |          |  |               |
| (a) Specify add            | dress city, count 3.5 miles | y and state. If | no address, spec            | ify d    | istance a       | nd bearing  | g relative  | to the   | nearest                                      | town or       |
|                            | of US Route                 |                 |                             |          |                 | C 01 C      | Juney 1     | 120,     | 2.Э ш  | TIES          |
|                            | al coordinates (            |                 | •                           |          |                 | an AM a     | rray, speci | fy coo   | rdinates                                     | of center     |
| -                          | therwise, specify           |                 | •                           | n Latif  | ude or E        | ast Longit  | ude wher    | e appli  | cable; ot                                    | herwise,      |
| North Latit                | ude or West Lor             | igitude will be | presumed.                   |          |                 |             |             |          |  |               |
| Latitude                   | • .                         | •               | -                           | Long     | ltuda           |             | •           |          |  | •             |
| Zatada                     | 41                          | 27              | 15                          | Ding     | itude           | 94          |             | 50       | <del></del>                                  | 33            |
| 3. is the suppo            | rting structure t           | he same as the  | at of another sta           | tion(s   | ) or prop       | cosed in a  | nother pe   | nding    | Y  | es X No       |
| if Yes, give               | call letter(s) or           | file number(s)  | or both.                    | D        | NA              |             |             |          | <u></u>                                      |               |
| If proposal                | involves a chan             | ge in height o  | of an existing st           | ructur   | e, specif       | y existing  | height a    | bove g   | round le                                     | vel includir  |
|                            | ll other appurten           |                 |                             |          |                 |             | _           | •        |  |               |
|                            |                             |                 |                             |          | NA              |             | <del></del> |          |  |               |

SECTION V-B - FM BROADCAST EP Y RING DATA (Page 2)

| Does the application of Yes, list old | X Yes 3   |   |   |
|---------------------------------------|---|---|---|
| Alltude                               | •   | * Longitude °   | ,   |
| if Yes, give da<br>determination,     |   | was filed and attach as an Exhibit a copy of 1  Central Region  | FAA  Exhibit No.  E-1                                   |
| nearest runwa                         |   | tenna site. Specify distance and bearing from s  Distance (km)  | structure to nearest point of<br>Bearing (degrees True) |
|                                       | Mun. Airport  | 6.3   | 105°  |
| (p)                                   |   |   |   |
| a) Elevation: /                       | te the mearest meter?                                     |   |   |
| (I) of site a                         | bove mean sea level;                                      | •   | 427 meters  |
|                                       | op of supporting structure<br>nances, and lighting, if an | above ground (including antenna, all other y); and  | 278 meters  |
| (3) of the t                          | op of supporting structure                                | above mean sea level [(aX1) + (aX2)]  |   |
| ) Height of ra                        | diation center: Its the near                              | est seter/ H - Horizontal; V - Vertical   |   |
| (I) above gr                          | round   |   | 265 meters  |
|                                       |   |   | 265meters   |
| (2) above n                           | nean sea level [(aX1) + (b                                | DX 1) ]   | 692 meters  |
|                                       |   |   | 692 meters  |
| (3) above a                           | verage terrain  |   |   |
|                                       |   |   | 299 meters  |
| in Question 7                         | above, except item 7(b)(3). I                             | oporting structure, labelling all elevations required mounted on an AM directional-array element rray towers, as well as location of FM radiator. |   |
| ffective Radia                        | ated Power:<br>horizontal plane                           | 100_0 kw(H=)100_0 kw  | (V=)  |
| (b) Is beam tilt                      | proposed?   |   | X Yes 1   |
|                                       | ify maximum ERP in the povational plot of radiated f      | plane of the tilted beam, and attach as an Exhib<br>field. 100.0 kw (H=) 100.0 kw   | E-3   |
| =Polarizatio                          | n.  |   | •••   |

| : \$8 | CTION V-                                     | # FM BROADCAST ENGINEERING DATA (Page 3)   |                    |
|-------|--|--|--------------------|
|       |  |  |                    |
| 10,   | is a direc                                   | tional antenna prop <del>osed</del> ?  | Y Yes No           |
|       |  | tech as an Exhibit a statement with all data specified in 47 C.F.R. Section 78.016, plot(s) and tabulations of the relative field.   | Exhibit No.<br>E-3 |
| 11.   | will the                                     | proposed facility satisfy the requirements of 47 C.P.R. Sections 78.615(a) and (b)?  | X Yes No           |
|       |  | sch as an Exhibit a request for waiver and justification therefor, including amounts entages of population and area that will not receive 6.15 mV/m service.   | Exhibit No.<br>DNA |
| 12    | will the                                     | main studio be within the protected G16 mV/m field strength contour of this  | X Yes No           |
|       | If No. att                                   | ich as an Exhibit justification pursuant to 47 C.F.R. Section 78,1126.   | Exhibit No.<br>DNA |
| 10    | (a) Does                                     | the proposed facility satisfy the requirements of 47 C.F.R. Section 76.2077  | Yes X No           |
|       | (b) If the                                   | answer to (a) is No, does 47 C.F.R. Section 73.213 apply?  | Yes X No           |
|       |  | e answer to (b) is Yea, attach as an Exhibit a justification, including a summary of our walvers.  | Exhibit No.        |
|       |  | answer to (a) is No and the answer to (b) is No, attach as an Exhibit a statement bing the short spacing(s) and how it or they aross.  | Exhibit Na<br>E-7  |
|       | compl  | thorization pursuant to 47 C.F.R. Section 73.215 is requested, attach as an Exhibit a stee engineering study to establish the lack of prohibited everlap of contours ving affected stations. The engineering study must include the following:   | Exhibit No.<br>E-4 |
|       | (2) Pr<br>ap<br>id:<br>or                    | otected and interfering contours in all directions (360°), for the proposed operation, blected and interfering contours over pertinent arcs of all short-spaced assignments, blications and allotments, including a plot showing each transmitter location, with entifying call letters or file numbers, and indication of whether facility is operating proposed. For vacant allotments, use the reference coordinates as the transmitter ation.        |                    |
|       | (8) W<br>W!<br>(4) A<br>th                   | hen necessary to show more detail, an additional allocation study utilizing a map th a larger scale to clearly show prohibited overlap will not occur.  scale of kilometers and properly labeled longitude and latitude lines shown across entire exhibit(s). Sufficient lines should be shown so that the location of the sites   |                    |
|       |  | y be verified.  e official title(s) of the map(s) used in the exhibits(s).   |                    |
| 14    | transmitt<br>the blan<br>head-end<br>antenna | er (a) within 60 meters of the proposed antenna, any proposed or authorized FM or TV see, or any nonbroadcast (except citizens bend or section) radio stations or (b) within keting contour, any established commercial or government receiving stations cable facilities, or populated areas or (c) within ten (10) kilometers of the proposed any proposed or authorized FM or TV transmitters which may produce induced intermodulation interference? | Yes X No           |
|       | remedial<br>elimination<br>other type        | ach as an Exhibit a description of any expected, undesired effects of operations and steps to be pursued if necessary, and a statement accepting full responsibility for the on of any objectionable interference (including that caused by receiver-induced or pes of modulation) to facilities in existence or authorized or to radio receivers in use train of this application. (See 47 [.].), Sections 73.315(b), 73.315(c) and 73.315.3            | Exhibit No.        |
|       |  |  |                    |

FCC 301 (Page 18) June 1989

|     | Attach as an Exhibit a 75 minute series U.S. Geological Survey topographic quadrangle map that shows clearly, legibly, and accurately, the location of the proposed transmitting antenna. This map must comply with the requirements set forth in Instruction V. The map must further clearly and legibly display the original printed contour lines and data as well as latitude and longitude markings, and must bear a scale of distance in kilometers. | Exhibit No.<br>E-5 |
|-----|--|--------------------|
| 16. | Attach as an Exhibit inese the secree a map which shows clearly, legibly, and accurately, and with the original printed latitude and longitude markings and a scale of distance in kilometers:   | Exhibit No.        |
|     | (a) the proposed transmitter location, and the radials along which profile graphs have been prepared;  |                    |
|     | (b) the 616 mV/m and 1 mV/m predicted contours; and  |                    |
|     | (c) the legal boundaries of the principal community to be served.  |                    |
|     | Specify area in square kilometers (1 sq. mi 259 sq. km.) and population (latest census) within the predicted 1 mV/m contour.   |                    |
|     | Area 16.300 sq. km. Population 139, 444  |                    |
| 18. | For an application involving an auxiliary facility only, attach as an Exhibit a map (Sectional Aeronautical than or equivalent) that shows clearly, legibly, and accurately, and with latitude and longitude markings and a scale of distance in kilometers:   | Exhibit No.        |
|     | (a) the proposed auxiliary 1 mV/m contour; and   |                    |
|     | (b) the 1 mV/m contour of the licensed main facility for which the applied-for facility will be auxiliary. Also specify the file number of the license.  |                    |
|     | Terrain and coverage data Ito be colculated in accordance with 47 C.F.R. Section 73,3131   |                    |
|     | Source of terrain data: (check enly one bes below)   |                    |
|     | X Linearly interpolated 30-second database 75 minute topographic map   |                    |
|     | (Source: NGDC  |                    |
|     | Other Ibriefly semestizel  |                    |



|                               | Height of radiation center above average           | Predicted Distances                  |                                     |  |  |  |
|-------------------------------|--|--------------------------------------|-------------------------------------|--|--|--|
| Radial bearing (degrees True) | elevation of radial<br>from 8 to 16 km<br>(meters) | To the 836 mV/m contour (kilometers) | To the 1 mV/m contour (killemeters) |  |  |  |
| 345                           | 302.2  | 49.5                                 | 71.4                                |  |  |  |
| 0                             | 292.0  | 49.5                                 | 71.5                                |  |  |  |
| 45                            | 291.1  | 49.5                                 | 71.4                                |  |  |  |
| 90·                           | 284.2  | 49.0                                 | 70.9                                |  |  |  |
| 165                           | 287.3  | 49.2                                 | 71.1                                |  |  |  |
| 180                           | 301.8  | 50.1                                 | 72.2                                |  |  |  |
| 225                           | 309.2  | 50.6                                 | 72.8                                |  |  |  |
| 270                           | 319.5  | 51.3                                 | 73.7                                |  |  |  |
| 815                           | 306.7  | 49.5                                 | 71.6                                |  |  |  |

<sup>-</sup>Radial through principal community, if not one of the major radials. This radial should NOT be included in the calculation of HAAT.

| <b>20</b> . | Environmental | Statemen | t/See | 47 C. | ,F ,R , : | Section | 1.1301 | et | seq. | , |
|-------------|---------------|----------|-------|-------|-----------|---------|--------|----|------|---|
|-------------|---------------|----------|-------|-------|-----------|---------|--------|----|------|---|

| Would a Commission grant of this application come within Section 11307 of the FCC Rules, such that it may have a significant environmental impact?   | Yes X N            |
|--|--------------------|
| If you answer Yes, submit as an Exhibit an Environmental Assessment required by Section 11311.   | Exhibit No.<br>DNA |
| If No. explain briefly why not. The proposed facility complies fully with the requirements of \$1.1306 and \$1.1307 as modified by General Docket 79-163. See attached non-ionizing radiation study. | 1                  |

I certify that I have prepared this Section of this application on behalf of the applicant, and that after such preparation. I have examined the foregoing and found it to be accurate and true to the best of my knowledge and belief.

CERTFICATION

| Name (Typed or Printed) | Relationship to Applicant (e.g., Consulting Engineer)                       |
|-------------------------|---|
| Donald L. Markley /     | Consulting Engineer   |
| Signature / //          | Address (Include 21) Code)  |
| Kell II                 | D.L. Markley & Associates, Inc.<br>2104 West Moss<br>Peoria, Illinois 61604 |
| Date                    | Telephone No. linciple Area Codel   |
| 12 1 7A AB2             | (309) 673-7511  |

determination on any other application with which it may be in conflict.

through amendments, of any substantial and significant changes in information furnished.

### SECTION VI - EQUAL EMPLOYMENT OPPORTUNITY PROGRAM

| 1. Does the applicant propose to employ five or more full-time employees?   | X Yes / No          |
|---|---------------------|
| If Yes, the applicant must include an EEO program called for in the separate Broadcast Equal Empl Opportunity Program Report (FCC 696-A).   | oyment              |
|   |                     |
|   |                     |
|   |                     |
| SECTION VII - CERT#ICATIONS   |                     |
| 1. Has or will the applicant comply with the public notice requirement of 47 C.F.R. Section 73.2580?  | x Yes 50            |
| 2 Has the applicant reasonable assurance, in good faith, that the site or structure proposed in Section V of this form, as the location of its transmitting antenna, will be available to the applicant for the applicant's intended purpose?   | X Yes No            |
| No, attach as an Exhibit, a full explantion.  | Exhibit No.         |
| On If reasonable assurance is not based on applicant's ownership of the proposed site or structure, applicant certifies that it has obtained such reasonable assurance by contacting the owner or person possessing control of the site or structure.   |                     |
| Name of Person Contacted Mr. Glen R. Smith  |                     |
| Telephone No. linclude eree code! (712) 243-4444  |                     |
| Person contacted: Icheck one box below!   |                     |
| X Owner Owner's Agent Other Ispecify!   |                     |
| (Mr. Smith is a General Partner of the Partnership owning the   | propert <b>y</b> )  |
| The APPLICANT hereby waives any claim to the use of any particular frequency as against the of the United States because of the previous use of the same, whether by license or otherwise authorization in accordance with this application. (See Section 304 of the Communications Act of 1934, as a | , and requests an   |
| The APPLICANT acknowledges that all the statements made in this application and attached exhibits are a material part hereof and incorporated herein.   | oits are considered |
| The APPLICANT represents that this application is not filed for the purpose of impeding, obstru   | cting, or delaying  |

In accordance with 47 C.F.R. Section 1.65, the APPLICANT has a continuing obligation to advise the Commission,

X Yes No

SECTION VII - CERTIFICATION (Page 6)

WILLFUL FALSE STATEMENTS MADE ON THIS FORM ARE PUNISHABLE BY FINE AND IMPRISONMENT.

U.S. CODE, TITLE 18, SECTION 1001.

I certify that the statements in this application are true and correct to the best of my knowledge and belief, and are made in good faith.

| Name of Applicant   | Signature of Sile!   |
|---------------------|----------------------|
| Al Hazelton         | By Office Line       |
| Date April 28, 1992 | Individual Applicant |

## FCC NOTICE TO INDIVIDUALS REQUIRED BY THE PRIVACY ACT AND THE PAPERWORK REDUCTION ACT

The solicitation of personal information requested in this application is authorized by the Communications Act of 1934, as amended. The principal purpose for which the information will be used is to determine if the benefit requested is consistent with the public interest. The staff, consisting variously of attorneys, analysts, engineers and applications examiners, will use the information to determine whether the application should be granted, denied, dismissed, or designated for hearing. If all the information is not provided, the application may be returned without action having been taken upon it or its processing may be delayed while a request is made to provide the missing information. Accordingly, every effort should be made to provide all necessary information. Your response is required to obtain the requested authority.

Public reporting burden for this collection of information is estimated to vary from 71 hours 45 minutes to 301 hours 30 minutes with an average of 113 hours 28 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, can be sent to the Federal Communications Commission, Office of Managing Director, Washington, D.C. 20554, and to the Office of Management and Budget, Paperwork Reduction Project (3060-0027), Washington, D.C. 20503.

THE FOREGOING NOTICE IS REQUIRED BY THE PRIVACY ACT OF 1974, P.L. 93-579, DECEMBER 31, 1974, 5 U.S.C. 552a(e)31, AND THE PAPERWORK REDUCTION ACT OF 1980, P.L. 96-511, DECEMBER 11, 1980, 44 U.S.C. 3507.